



嘉實國際資產管理
Harvest Global Investments

HARVEST FUNDS SERIES (HONG KONG) ETF
(AN UMBRELLA UNIT TRUST ESTABLISHED IN HONG KONG)

HARVEST CSI 300 ESG LEADERS INDEX ETF
(A SUB-FUND OF THE HARVEST FUNDS SERIES (HONG KONG) ETF)

UNAUDITED SEMI-ANNUAL
REPORT 30 JUNE 2023

HARVEST FUNDS SERIES (HONG KONG) ETF

(An umbrella unit trust established in Hong Kong)

HARVEST CSI 300 ESG LEADERS INDEX ETF

(A sub-fund of Harvest Funds Services (Hong Kong) ETF)

REPORT AND FINANCIAL STATEMENTS

For the period ended 30 June 2023 (unaudited)

HARVEST CSI 300 ESG LEADERS INDEX ETF

(A sub-fund of Harvest Funds Services (Hong Kong) ETF, an umbrella unit trust established in Hong Kong)

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HARVEST CSI 300 ESG LEADERS INDEX ETF

(A sub-fund of Harvest Funds Services (Hong Kong) ETF, an umbrella unit trust established in Hong Kong)

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JING Lei

LU Lingfei

KWAN Chi Wang

GUO Song

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HARVEST CSI 300 ESG LEADERS INDEX ETF

(A sub-fund of Harvest Funds Services (Hong Kong) ETF, an umbrella unit trust established in Hong Kong)

Directory (continued)**AUDITOR**

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HARVEST CSI 300 ESG LEADERS INDEX ETF

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MANAGER'S REPORT

Market Overview

The CSI 300 ESG Leaders Custom Index edged down by 0.2% (net total return in CNY) in the first half, slightly underperforming the CSI 300 Index's positive returns of 0.3% (net total return in CNY).

When China shifted toward a full post-COVID reopening in early January, market sentiment was generally positive and underpinned by evidence of pent-up demand. Growth in the service and construction sectors were particularly robust as indicated by March's non-manufacturing PMI, which jumped to the highest level since May 2011. However, the momentum softened in the second quarter. Sluggish household loan growth, slower-than-expected property market recovery, and muted inflation suggested that the post-COVID recovery has not been a smooth sailing after the initial rebound. The official manufacturing PMI stayed in the contractionary territory for the whole second quarter, reflecting pressures facing the export and manufacturing sectors.

Given the economic growth slowdown, market expectation on large-scale stimulus policies increased. In March, the newly elected Premier Li Qiang exhibited a pro-private sector stance, advocating supporting private enterprises to grow and thrive. China's State Council meeting in June signalled that the government was considering a package of pro-growth policies. The meeting urged to roll out and implement these policies as soon as possible. Meanwhile, the People's Bank of China cut several benchmark interest rates by 10 basis points each. The rate cuts reaffirmed the central bank's pro-growth stance, although the rate cut magnitude was considered insufficient by some market participants.

Market Outlook

In the challenging investment environment, we believe cyclical headwinds including shrinking external demand have exaggerated China's structural challenges. The equity market appears to have taken some cyclical headwinds as structural issues, and hence has become too bearish on the prospect of the Chinese economy. The timely rollout of concerted counter-cyclical measures in the near term would be a necessary step to boost consumer and investor confidence.

The Politburo meeting in late July suggested to adjust and optimize property policy instead of sticking to the pledge of "housing is for living, not for speculation", and offered a supportive tone on the capital markets and local government debts. We expect more concrete policies to be released in the third quarter.

HARVEST CSI 300 ESG LEADERS INDEX ETF

(A sub-fund of Harvest Funds Services (Hong Kong) ETF, an umbrella unit trust established in Hong Kong)

STATEMENT OF FINANCIAL POSITION (unaudited)

As at 30 June 2023

	30 June 2023 RMB	31 December 2022 RMB
Assets		
Non-current assets		
Deposit reserve	14,567	16,787
Current assets		
Financial assets at fair value through profit or loss	43,702,730	44,616,946
Management fee rebate receivables	331,397	352,907
Other receivables	24,127	64,731
Cash and cash equivalents	796,152	431,832
	<u>44,854,406</u>	<u>45,466,416</u>
Total assets	<u>44,868,973</u>	<u>45,483,203</u>
Liabilities		
Current liabilities		
Trustee fee payable	11,838	5,927
Management fee payable	72,810	72,058
Administration fee payable	11,838	5,927
Audit fee payable	110,468	237,131
Custody fee payable	11,838	5,927
Other payables	1,945,280	1,922,609
Total liabilities	<u>2,164,072</u>	<u>2,249,579</u>
Equity		
Net assets attributable to unitholders	<u>42,704,901</u>	<u>43,233,624</u>

The financial statements of the Sub-Fund have been prepared in accordance with International Financial Reporting Standards ("IFRS"). The financial statements are prepared under the historical cost convention as modified by the fair valuation of financial assets and financial liabilities (including derivative instruments) held at fair value through profit or loss.

There are no standards, amendments to standards or interpretations that are effective for annual period beginning 1 January 2023 that have a material impact on the financial statements of the Sub-Funds.

HARVEST CSI 300 ESG LEADERS INDEX ETF

(A sub-fund of Harvest Funds Services (Hong Kong) ETF, an umbrella unit trust established in Hong Kong)

STATEMENT OF COMPREHENSIVE INCOME *(unaudited)**For the financial period from 01 January 2023 to 30 June 2023*

	01 January 2023 to 30 June 2023 RMB	01 January 2022 to 30 June 2022 RMB
Income		
Net losses on financial assets at fair value through profit or loss	(1,012,769)	(5,583,569)
Dividend income	498,301	486,925
Interest income on cash and cash equivalent	778	2,617
Management fee rebate	733,032	588,157
Other Income	-	75,987
Total income/(losses)	219,342	(4,429,883)
Expenses		
Trustee fee	35,417	32,176
Management fee	148,294	180,743
Administration fee	35,403	32,176
Transaction cost ⁽¹⁾	36,400	87,920
Custody fees	48,313	32,176
Audit fees	111,196	103,381
Legal and professional fee	128,560	46,868
Other expenses ⁽²⁾	154,610	150,413
Total operating expenses	698,193	665,853
Net losses before tax	(478,851)	(5,095,736)
Withholding tax on dividends and other investment income	(49,872)	(48,701)
Total comprehensive losses	(528,723)	(5,144,437)

⁽¹⁾ During the period ended 30 June 2023 and 2022, certain investment transactions of the Sub-Fund were executed through the connected persons of the Trustee who act as the brokers of the Sub-Fund. Transaction cost of RMB 17,538 (30 June 2022: RMB 51,966) was charged for the Sub Fund for the period 1 January 2023 to 30 June 2023.

⁽²⁾ Registrar and transfer agent fee of RMB 65,894 (30 June 2022: RMB 32,176) was charged for the Sub-Fund for the period 1 January 2023 to 30 June 2023. Banking fee of RMB 1,379 (30 June 2022: RMB 1,426) was charged for the Sub-Fund for the period 1 January 2023 to 30 June 2023. The registrar and transfer agent fee and banking fee were recognised in other expenses in the statement of comprehensive income.

HARVEST CSI 300 ESG LEADERS INDEX ETF

(A sub-fund of Harvest Funds Services (Hong Kong) ETF, an umbrella unit trust established in Hong Kong)

STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO UNITHOLDERS *(unaudited)**For the financial period from 01 January 2023 to 30 June 2023*

	Harvest CSI 300 ESG Leaders Index ETF	
	01 January 2023 to 30 June 2023	01 January 2022 to 30 June 2022
	RMB	RMB
Net assets attributable to unitholders at the beginning of the financial period	43,233,624	61,804,393
Subscription of units	-	18,382,800
	<u>-</u>	<u>18,382,800</u>
Redemption of units	-	(23,794,500)
	<u>-</u>	<u>(23,794,500)</u>
Net decrease from units transaction	-	(5,411,700)
Total comprehensive losses	<u>(528,723)</u>	<u>(5,144,437)</u>
Net assets attributable to unitholders at the end of the financial period	<u>42,704,901</u>	<u>51,248,256</u>
 Units transactions		
	01 January 2023 to 30 June 2023	01 January 2022 to 30 June 2022
Units outstanding at the beginning of the period	6,000,000	6,600,000
Units issued during the period	-	2,400,000
Units redeemed during the period	<u>-</u>	<u>(3,000,000)</u>
Units outstanding at the end of the period	6,000,000	6,000,000
Net asset value per unit currency	<u>RMB 7.12</u>	<u>RMB 8.54</u>

HARVEST FUNDS SERIES (HONG KONG) ETF
(An umbrella unit trust established in Hong Kong)

INVESTMENT PORTFOLIO (unaudited)
As at 30 June 2023

	Harvest CSI 300 Harvest ESG Leaders ETF		
	Holdings at 30 June 2023	Fair value at 30 June 2023 RMB	Percentage of total net assets attributable to unitholders at 30 June 2023 %
Financial assets at fair value through profit or loss			
Listed equity securities			
CHINA			
Advanced Micro-Fabrication Equipment Incorporation China	3,002	469,663	1.10
Aluminum Corporation Of China Limited	39,300	215,757	0.51
Asymchem Laboratories Tianjin Company Limited	1,500	176,790	0.41
Bank Of China Limited	155,900	609,569	1.43
Bank Of Communications Company Limited	200,800	1,164,640	2.73
Bank Of Nanjing Company Limited	36,900	295,200	0.69
Baoshan Iron & Steel Company Limited	45,512	255,777	0.60
Bloomage Biotechnology Corporation Limited	562	50,108	0.12
Boe Technology Group Company Limited	262,700	1,074,443	2.52
BYD Company Limited	3,900	1,007,253	2.36
CGN Power Company Limited	42,800	133,108	0.31
Changchun High & New Technology Industry Group Incorporated	2,000	272,600	0.64
China Construction Bank Corporation	52,400	328,024	0.77
China International Capital Corporation Limited	6,400	227,328	0.53
China Jushi Company Limited	12,300	174,168	0.41
China Longyuan Power Group Corporation Limited	600	13,500	0.03
China Oilfield Services Limited	3,265	45,318	0.11
China Petroleum & Chemical Corporation	96,500	613,740	1.44
China Railway Group Limited	51,700	391,886	0.92
China Resources Microelectronics Limited	3,874	203,036	0.48
China Shenhua Energy Company Limited	16,800	516,600	1.21
China Three Gorges Renewables Group Company Limited	59,000	316,830	0.74
China Vanke Company Limited	24,900	349,098	0.82
China Yangtze Power Company Limited	40,100	884,606	2.07
China Zhenhua Group Science & Technology Company Limited	2,600	249,210	0.58
CITIC Securities Company Limited	70,667	1,397,793	3.27
CMOC Group Limited	34,300	182,819	0.43
Contemporary Amperex Technology Company Limited	12,140	2,777,511	6.50
Cosco Shipping Holdings Company Limited	30,440	286,136	0.67
Dongfang Electric Corporation Limited	5,600	104,440	0.24
East Money Information Company Limited	90,957	1,291,589	3.02
Ecovacs Robotics Company Limited	1,000	77,770	0.18
Eve Energy Company Limited	5,700	344,850	0.81
GF Securities Company Limited	22,000	323,620	0.76
Gigadevice Semiconductor Incorporated	4,600	488,750	1.14

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INVESTMENT PORTFOLIO (unaudited)

As at 30 June 2023

	Harvest CSI 300 Harvest ESG Leaders ETF		
	Holdings at 30 June 2023	Fair value at 30 June 2023 RMB	Percentage of total net assets attributable to unitholders at 30 June 2023 %
Financial assets at fair value through profit or loss			
Listed equity securities (continued)			
CHINA (continued)			
Glodon Company Limited	9,280	301,507	0.71
Goldwind Science & Technology Company Limited	14,200	150,804	0.35
Guotai Junan Securities Company Limited	32,800	458,872	1.07
Haier Smart Home Company Limited	16,500	387,420	0.91
Hangzhou First Applied Material Company Limited	3,220	119,752	0.28
Hangzhou Tigermed Consulting Company Limited	3,200	206,528	0.48
Huatai Securities Company Limited	38,300	527,391	1.23
Huayu Automotive Systems Company Limited	6,200	114,452	0.27
Huizhou Desay Sv Automotive Company Limited	1,100	171,391	0.40
Iflytek Company Limited	12,800	869,888	2.04
Industrial & Commercial Bank Of China Limited	253,100	1,219,942	2.86
Industrial Securities Company Limited	51,400	314,568	0.74
Ingenic Semiconductor Company Limited	1,700	150,127	0.35
Inner Mongolia Yili Industrial Group Company Limited	19,000	538,080	1.26
JA Solar Technology Company Limited	7,800	325,260	0.76
Jcet Group Company Limited	10,100	314,817	0.74
Jiangsu Yanghe Brewery Joint-Stock Company Limited	1,800	236,430	0.55
Kweichow Moutai Company Limited	1,900	3,212,900	7.52
LB Group Company Limited	6,800	112,200	0.26
Longi Green Energy Technology Company Limited	27,992	802,531	1.88
Luzhou Laojiao Company Limited	2,200	461,054	1.08
Maxscend Microelectronics Company Limited	2,600	251,238	0.59
Midea Group Company Limited	21,236	1,251,225	2.93
Ming Yang Smart Energy Group Limited	7,500	126,600	0.30
Nari Technology Company Limited	19,041	439,847	1.03
Ningbo Ronbay New Energy Technology Company Limited	1,475	79,680	0.19
Orient Securities Company Limited/China	38,500	373,450	0.87
People'S Insurance Company Group Of China Limited/The	25,400	148,336	0.35
Pharmaron Beijing Company Limited	3,650	139,722	0.33
Poly Developments And Holdings Group Company Limited	26,500	345,295	0.81
Power Construction Corporation Of China Limited	32,700	187,698	0.44
Sangfor Technologies Incorporated	1,700	192,525	0.45
Sany Heavy Industry Company Limited	27,701	460,668	1.08
SG Micro Corporation	2,250	184,792	0.43
Shandong Gold Mining Company Limited	8,700	204,276	0.48
Shanghai Baosight Software Company Limited	5,200	264,212	0.62
Shanghai Pharmaceuticals Holding Company Limited	7,300	163,593	0.38

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INVESTMENT PORTFOLIO (unaudited)
As at 30 June 2023

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	Holdings at 30 June 2023	Fair value at 30 June 2023 RMB	Percentage of total net assets attributable to unitholders at 30 June 2023 %
Financial assets at fair value through profit or loss			
Listed equity securities (continued)			
CHINA (continued)			
Shanghai Putailai New Energy Technology Company Limited	4,350	166,257	0.39
Shennan Circuits Company Limited	1,500	113,055	0.26
Shenwan Hongyuan Group Company Limited	67,100	310,002	0.73
Shenzhen Inovance Technology Company Limited	8,650	555,416	1.30
Shenzhen Mindray Bio-Medical Electronics Company Limited	2,900	869,420	2.04
Sungrow Power Supply Company Limited	4,800	559,824	1.31
Sunwoda Electronic Company Limited	7,000	114,240	0.27
TCL Zhonghuan Renewable Energy Technology Company Limited	15,125	502,150	1.18
Tianqi Lithium Corporation	4,800	335,568	0.79
Walvax Biotechnology Company Limited	9,600	253,920	0.59
Wanhua Chemical Group Company Limited	8,700	764,208	1.79
Weichai Power Company Limited	26,300	327,698	0.77
Wens Foodstuffs Group Company Limited	15,600	286,260	0.67
Will Semiconductor Company Limited Shanghai	5,785	567,161	1.33
Wuliangye Yibin Company Limited	5,800	948,706	2.22
Wuxi Apptec Company Limited	12,479	777,566	1.82
XCMG Construction Machinery Company Limited	23,700	160,449	0.38
Yealink Network Technology Corporation Limited	3,340	117,134	0.27
Zhejiang Chint Electrics Company Limited	4,700	129,955	0.30
Zhejiang Huayou Cobalt Company Limited	5,900	270,869	0.63
Zhejiang Sanhua Intelligent Controls Company Limited	9,500	287,470	0.67
Zheshang Securities Company Limited	14,600	144,248	0.34
Zijin Mining Group Company Limited	76,400	868,668	2.03
Zoomlion Heavy Industry Science And Technology Company Limited	25,300	170,775	0.40
ZTE Corporation	21,500	979,110	2.29
Total China		<u>43,702,730</u>	<u>102.34</u>
Total investment, net		43,702,730	102.34
Other net liabilities		(997,829)	(2.34)
Net assets attributable to unitholders		<u>42,704,901</u>	<u>100.00</u>
Total investment, at cost		<u>49,639,222</u>	

HARVEST CSI 300 ESG LEADERS INDEX ETF

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STATEMENTS OF MOVEMENTS IN INVESTMENT PORTFOLIO (unaudited)

For the period ended 30 June 2023

Listed equity securities

	01/01/2023	Holdings		30/06/2023
		Additions	Disposals	
ADVANCED MICRO-FABRICATION EQUIPMENT INCORPORATION CHINA	-	3,002	-	3,002
AIER EYE HOSPITAL GROUP COMPANY LIMITED	14,750	4,426	19,176	-
ALUMINUM CORPORATION OF CHINA LIMITED	-	39,300	-	39,300
ASYMCEM LABORATORIES TIANJIN COMPANY LIMITED	-	1,500	-	1,500
BANK OF CHENGDU COMPANY LIMITED	11,600	-	11,600	-
BANK OF CHINA LIMITED	110,300	45,600	-	155,900
BANK OF COMMUNICATIONS COMPANY LIMITED	-	200,800	-	200,800
BANK OF HANGZHOU COMPANY LIMITED	15,500	-	15,500	-
BANK OF JIANGSU COMPANY LIMITED	61,740	-	61,740	-
BANK OF NANJING COMPANY LIMITED	-	36,900	-	36,900
BANK OF NINGBO COMPANY LIMITED	20,900	-	20,900	-
BAOSHAN IRON & STEEL COMPANY LIMITED	45,512	-	-	45,512
BEIJING KINGSOFT OFFICE SOFTWARE INCORPORATED	1,109	-	1,109	-
BEIJING ROBOROCK TECHNOLOGY COMPANY LIMITED	223	-	223	-
BLOOMAGE BIOTECHNOLOGY CORPORATION LIMITED	-	562	-	562
BOE TECHNOLOGY GROUP COMPANY LIMITED	225,700	37,000	-	262,700
BYD COMPANY LIMITED	3,600	300	-	3,900
CGN POWER COMPANY LIMITED	-	42,800	-	42,800
CHANGCHUN HIGH & NEW TECHNOLOGY INDUSTRY GROUP INCORPORATED	-	2,000	-	2,000
CHINA CONSTRUCTION BANK CORPORATION	35,100	17,300	-	52,400
CHINA INTERNATIONAL CAPITAL CORPORATION LIMITED	4,600	1,800	-	6,400
CHINA JUSHI COMPANY LIMITED	12,300	-	-	12,300
CHINA LONGYUAN POWER GROUP CORPORATION LIMITED	600	-	-	600
CHINA OILFIELD SERVICES LIMITED	6,265	-	3,000	3,265
CHINA PETROLEUM & CHEMICAL CORPORATION	-	96,500	-	96,500
CHINA RAILWAY GROUP LIMITED	44,700	7,000	-	51,700
CHINA RESOURCES MICROELECTRONICS LIMITED	3,174	900	200	3,874
CHINA SHENHUA ENERGY COMPANY LIMITED	-	16,800	-	16,800
CHINA THREE GORGES RENEWABLES GROUP COMPANY LIMITED	36,200	22,800	-	59,000
CHINA TOURISM GROUP DUTY FREE CORPORATION LIMITED	3,900	-	3,900	-
CHINA VANKE COMPANY LIMITED	25,700	-	800	24,900
CHINA YANGTZE POWER COMPANY LIMITED	48,000	-	7,900	40,100
CHINA ZHENHUA GROUP SCIENCE & TECHNOLOGY COMPANY LIMITED	2,200	400	-	2,600
CHONGQING ZHIFEI BIOLOGICAL PRODUCTS COMPANY LIMITED	3,300	-	3,300	-
CITIC PACIFIC SPECIAL STEEL GROUP COMPANY LIMITED	5,200	-	5,200	-
CITIC SECURITIES COMPANY LIMITED	51,267	19,400	-	70,667
CMOC GROUP LIMITED	36,100	-	1,800	34,300
CONTEMPORARY AMPEREX TECHNOLOGY COMPANY LIMITED	6,400	5,840	100	12,140
COSCO SHIPPING HOLDINGS COMPANY LIMITED	27,540	2,900	-	30,440
DONGFANG ELECTRIC CORPORATION LIMITED	-	5,600	-	5,600
EAST MONEY INFORMATION COMPANY LIMITED	55,548	35,409	-	90,957

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STATEMENTS OF MOVEMENTS IN INVESTMENT PORTFOLIO (unaudited) (continued)

For the period ended 30 June 2023

Listed equity securities (continued)

	01/01/2023	Holdings		30/06/2023
		Additions	Disposals	
ECOVACS ROBOTICS COMPANY LIMITED	-	1,000	-	1,000
EVE ENERGY COMPANY LIMITED	5,300	400	-	5,700
GF SECURITIES COMPANY LIMITED	-	22,000	-	22,000
GIGADEVICE SEMICONDUCTOR INCORPORATED	4,000	600	-	4,600
GINLONG TECHNOLOGIES COMPANY LIMITED	800	-	800	-
GLODON COMPANY LIMITED	5,700	3,580	-	9,280
GOLDWIND SCIENCE & TECHNOLOGY COMPANY LIMITED	-	14,200	-	14,200
GUOTAI JUNAN SECURITIES COMPANY LIMITED	23,600	9,200	-	32,800
HAIER SMART HOME COMPANY LIMITED	15,000	1,500	-	16,500
HANGZHOU FIRST APPLIED MATERIAL COMPANY LIMITED	2,300	920	-	3,220
HANGZHOU TIGERMED CONSULTING COMPANY LIMITED	2,300	900	-	3,200
HUANENG LANCANG RIVER HYDROPOWER INCORPORATED	7,600	-	7,600	-
HUATAI SECURITIES COMPANY LIMITED	26,900	11,400	-	38,300
HUAYU AUTOMOTIVE SYSTEMS COMPANY LIMITED	6,200	-	-	6,200
HUIZHOU DESAY SV AUTOMOTIVE COMPANY LIMITED	1,100	-	-	1,100
HUNDSUN TECHNOLOGIES INCORPORATED	9,144	-	9,144	-
IFLYTEK COMPANY LIMITED	11,200	1,600	-	12,800
IMEIK TECHNOLOGY DEVELOPMENT COMPANY LIMITED	400	-	400	-
INDUSTRIAL & COMMERCIAL BANK OF CHINA LIMITED	183,600	69,500	-	253,100
INDUSTRIAL SECURITIES COMPANY LIMITED	-	51,400	-	51,400
INGENIC SEMICONDUCTOR COMPANY LIMITED	-	1,700	-	1,700
INNER MONGOLIA YILI INDUSTRIAL GROUP COMPANY LIMITED	21,500	-	2,500	19,000
JA SOLAR TECHNOLOGY COMPANY LIMITED	-	7,800	-	7,800
JCET GROUP COMPANY LIMITED	-	10,100	-	10,100
JIANGSU HENGRUI PHARMACEUTICALS COMPANY LIMITED	18,300	-	18,300	-
JIANGSU YANGHE BREWERY JOINT-STOCK COMPANY LIMITED	2,000	-	200	1,800
KWEICHOW MOUTAI COMPANY LIMITED	2,100	-	200	1,900
LB GROUP COMPANY LIMITED	7,300	-	500	6,800
LONGI GREEN ENERGY TECHNOLOGY COMPANY LIMITED	26,392	1,600	-	27,992
LUXSHARE PRECISION INDUSTRY COMPANY LIMITED	30,017	-	30,017	-
LUZHOU LAOJIAO COMPANY LIMITED	2,500	-	300	2,200
MAXSCEND MICROELECTRONICS COMPANY LIMITED	-	2,600	-	2,600
MIDEA GROUP COMPANY LIMITED	19,536	1,700	-	21,236
MING YANG SMART ENERGY GROUP LIMITED	-	7,500	-	7,500
NARI TECHNOLOGY COMPANY LIMITED	14,568	4,473	-	19,041
NATIONAL SILICON INDUSTRY GROUP COMPANY LIMITED	6,603	-	6,603	-
NINGBO RONBAY NEW ENERGY TECHNOLOGY COMPANY LIMITED	-	1,475	-	1,475
ORIENT SECURITIES COMPANY LIMITED/CHINA PEOPLE'S INSURANCE COMPANY GROUP OF CHINA LIMITED/THE	-	38,500	-	38,500
PHARMARON BEIJING COMPANY LIMITED	2,050	1,600	-	3,650

HARVEST CSI 300 ESG LEADERS INDEX ETF

(A sub-fund of Harvest Funds Services (Hong Kong) ETF, an umbrella unit trust established in Hong Kong)

STATEMENTS OF MOVEMENTS IN INVESTMENT PORTFOLIO (unaudited) (continued)

For the period ended 30 June 2023

Listed equity securities (continued)

	01/01/2023	Holdings		30/06/2023
		Additions	Disposals	
PING AN BANK COMPANY LIMITED	50,786	-	50,786	-
PING AN INSURANCE GROUP COMPANY OF CHINA LIMITED	57,031	-	57,031	-
POLY DEVELOPMENTS AND HOLDINGS GROUP COMPANY LIMITED	27,100	-	600	26,500
POWER CONSTRUCTION CORPORATION OF CHINA LIMITED	32,700	-	-	32,700
QI AN XIN TECHNOLOGY GROUP INCORPORATED	1,649	-	1,649	-
SANGFOR TECHNOLOGIES INCORPORATED	1,500	200	-	1,700
SANY HEAVY INDUSTRY COMPANY LIMITED	25,801	1,900	-	27,701
SG MICRO CORPORATION	1,500	750	-	2,250
SHAANXI COAL INDUSTRY COMPANY LIMITED	41,019	-	41,019	-
SHANDONG GOLD MINING COMPANY LIMITED	-	8,700	-	8,700
SHANDONG HUALU HENGSHENG CHEMICAL COMPANY LIMITED	7,600	-	7,600	-
SHANGHAI BAOSIGHT SOFTWARE COMPANY LIMITED	-	5,200	-	5,200
SHANGHAI PHARMACEUTICALS HOLDING COMPANY LIMITED	-	7,300	-	7,300
SHANGHAI PUTAILAI NEW ENERGY TECHNOLOGY COMPANY LIMITED	3,000	1,350	-	4,350
SHENGYI TECHNOLOGY COMPANY LIMITED	7,000	2,000	9,000	-
SHENNAN CIRCUITS COMPANY LIMITED	-	1,500	-	1,500
SHENWAN HONGYUAN GROUP COMPANY LIMITED	47,200	19,900	-	67,100
SHENZHEN INOVANCE TECHNOLOGY COMPANY LIMITED	8,050	600	-	8,650
SHENZHEN MINDRAY BIO-MEDICAL ELECTRONICS COMPANY LIMITED	2,000	900	-	2,900
SUNGROW POWER SUPPLY COMPANY LIMITED	4,500	300	-	4,800
SUNWODA ELECTRONIC COMPANY LIMITED	5,600	1,400	-	7,000
TBEA COMPANY LIMITED	16,818	-	16,818	-
TCL ZHONGHUAN RENEWABLE ENERGY TECHNOLOGY COMPANY LIMITED	11,200	3,925	-	15,125
TIANQI LITHIUM CORPORATION	5,300	-	500	4,800
TONGWEI COMPANY LIMITED	11,700	-	11,700	-
TRINA SOLAR COMPANY LIMITED	4,722	-	4,722	-
TSINGTAO BREWERY COMPANY LIMITED	1,200	-	1,200	-
WALVAX BIOTECHNOLOGY COMPANY LIMITED	-	9,600	-	9,600
WANHUA CHEMICAL GROUP COMPANY LIMITED	9,800	-	1,100	8,700
WEICHAH POWER COMPANY LIMITED	23,500	2,800	-	26,300
WENS FOODSTUFFS GROUP COMPANY LIMITED	-	15,600	-	15,600
WILL SEMICONDUCTOR COMPANY LIMITED SHANGHAI	4,285	1,500	-	5,785
WULIANGYE YIBIN COMPANY LIMITED	6,500	-	700	5,800
WUXI APPTec COMPANY LIMITED	8,579	3,900	-	12,479
XCMG CONSTRUCTION MACHINERY COMPANY LIMITED	25,500	-	1,800	23,700
YEALINK NETWORK TECHNOLOGY CORPORATION LIMITED	3,100	1,240	1,000	3,340
YIHAI KERRY ARAWANA HOLDINGS COMPANY LIMITED	2,000	-	2,000	-
YUNNAN BAIYAO GROUP COMPANY LIMITED	2,900	-	2,900	-
YUNNAN ENERGY NEW MATERIAL COMPANY LIMITED	2,300	-	2,300	-

HARVEST CSI 300 ESG LEADERS INDEX ETF

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STATEMENTS OF MOVEMENTS IN INVESTMENT PORTFOLIO (unaudited) (continued)

For the period ended 30 June 2023

Listed equity securities (continued)

	01/01/2023	Holdings		30/06/2023
		Additions	Disposals	
ZHEJIANG CHINT ELECTRICS COMPANY LIMITED	4,700	-	-	4,700
ZHEJIANG HUAYOU COBALT COMPANY LIMITED	6,700	-	800	5,900
ZHEJIANG JINGSHENG MECHANICAL & ELECTRICAL COMPANY LIMITED	2,800	-	2,800	-
ZHEJIANG SANHUA INTELLIGENT CONTROLS COMPANY LIMITED	8,500	1,000	-	9,500
ZHESHANG SECURITIES COMPANY LIMITED	-	14,600	-	14,600
ZIJIN MINING GROUP COMPANY LIMITED	73,700	2,700	-	76,400
ZOOMLION HEAVY INDUSTRY SCIENCE AND TECHNOLOGY COMPANY LIMITED	21,600	3,700	-	25,300
ZTE CORPORATION	-	21,500	-	21,500