

# NIKKO AM ARK DISRUPTIVE INNOVATION FUND

June 2021 Factsheet

## Key Facts

<b>Total Fund Size:</b>	USD 10,724,383,571
<b>Minimum Investment:</b>	USD 1,000 or equivalent in other currencies
<b>Benchmark</b>	Nil
<b>Legal Structure:</b>	Sub-fund of Luxembourg SICAV qualifying as a UCITS
<b>Investment Manager:</b>	Nikko Asset Management Americas, Inc.
<b>Investment Adviser</b>	ARK Investment Management LLC.
<b>Management Company:</b>	Nikko Asset Management Luxembourg S.A
<b>Portfolio Managers:</b>	Lawrence Prager & Takeshi Noda (Nikko AM Americas)
<b>Depository:</b>	Brown Brothers Harriman (Luxembourg) S.C.A
<b>Management Fee:</b>	USD Class B & SGD Class B: 1.50% p.a.
<b>Sales Charge:</b>	USD Class B & SGD Class B: Up to 5.0%
<b>Dealing:</b>	Daily
<b>Base Currency:</b>	USD

## Investment Objective

The investment objective of the Fund is to achieve long term capital growth. The Fund will invest, under normal market conditions, primarily in global equity securities of companies that are relevant to the investment theme of disruptive innovation - defined as the introduction of a technologically enabled new product or service that potentially changes the way the world works. The Investment Manager believes that companies relevant to this theme are those that rely on or benefit from the development of new products or services, technological improvements and advancements in scientific research relating to:

**Genomic Revolution Companies** - the study of genes and their functions and related techniques

**Industrial Innovation Companies** - in energy, automation and manufacturing

**Web x.0 Companies** - the increased use of shared technology, infrastructure and services

**FinTech Innovation Companies** - technologies that make financial services more efficient

## Performance Returns (%)

		3Mth	6Mth	1Yr	3Yr	SI
Class B USD	NAV-NAV	7.72	2.87	82.01	-	55.51
	NAV-NAV (with charges <sup>1</sup> )	2.33	-2.27	72.91	-	52.24
Class B SGD	NAV-NAV	7.78	4.66	75.33	-	60.54
	NAV-NAV (with charges <sup>1</sup> )	2.39	-0.58	66.57	-	56.33

Source: Nikko Asset Management Europe Ltd, 30 June 2021

Returns are calculated on a NAV-NAV basis and assuming all dividends and distributions are reinvested, if any. Returns for period in excess of 1 year are annualised. Past performance is not indicative of future performance.

<sup>1</sup> Takes into account of maximum initial sales charge and a realisation charge, currently nil, as and where applicable.

## Fund Holdings

Top 10 Holdings	Weight
Tesla Motors, Inc.	8.59%
Roku Inc	6.41%
Teladoc Health, Inc.	5.44%
Square, Inc. Class A	4.66%
Crispr Therapeutics Ag	3.74%
Zoom Video Communications Inc	3.68%
Invitae Corp	3.64%
Spotify Technology Sa	3.64%
Intellia Therapeutics Inc	3.59%
Twilio, Inc. Class A	3.57%
Market Cap	Weight
Mega cap: >USD 50b	44.40%
Large cap: USD 10b to USD 50b	32.51%
Mid cap USD: 2b to USD 10b	19.22%
Small cap: <USD 2b	3.42%
Currency	Weight*
US Dollar	98.11%
Japanese Yen	1.02%
Swiss Franc	0.41%
Hong Kong Dollar	0.41%

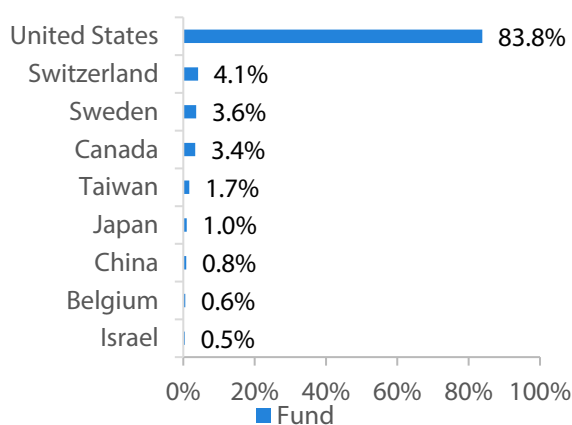
## Portfolio

Fund Composition*	Weight
Cloud Computing	12.8%
Digital Media	12.7%
E-Commerce	10.8%
Gene Therapy	8.0%
Mobile	6.5%
Big Data & Machine Learning	6.3%
Molecular Diagnostics	5.5%
Internet of Things	5.0%
Instrumentation	4.7%
Blockchain & P2P	3.9%
Bioinformatics	3.4%
3D Printing	3.3%
Beyond DNA	3.0%
Energy Storage	2.8%
Social Platforms	2.8%
Autonomous Vehicles	2.0%
Targeted Therapeutics	2.0%
Robotics	1.8%
Space Exploration	1.1%
Next Generation Oncology	0.9%
Development of Infrastructure	0.9%

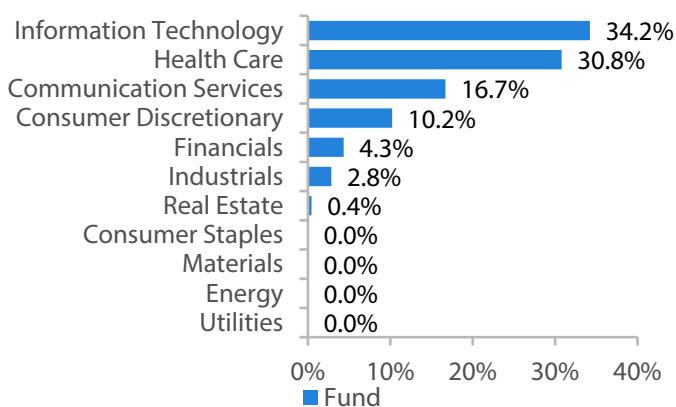
\*Elements defined by ARK Invest

\*Amounts of less than 0.1% are not displayed

## Country Allocation



## Sector Allocation



Note: Only positive portfolio value information is displayed.

## Fund Information

	Inception Date	Nav Per Share	ISIN Code	Bloomberg Ticker
USD Class B	31 January 2019	USD 29.030	LU1861558580	NIKADBU LX
SGD Class B	26 July 2019	SGD 24.950	LU1861559042	NIKADBS LX

Information sources include Bloomberg, Brown Brothers Harriman (Luxembourg) S.C.A., SMBC Nikko Bank (Luxembourg) S.A., Nikko Asset Management Americas, ARK Investment Management LLC. and Nikko Asset Management Co., Ltd. All information as of 30 June 2021.

## Contact Us

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## Important Information

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