

NIKKO AM ARK DISRUPTIVE INNOVATION FUND

March 2022 Factsheet

Key Facts

Total Fund Size:	USD 4,629,325,308
Minimum Investment:	USD 1,000 or equivalent in other currencies
Benchmark	Nil
Legal Structure:	Sub-fund of Luxembourg SICAV qualifying as a UCITS
Investment Manager:	Nikko Asset Management Americas, Inc.
Investment Adviser	ARK Investment Management LLC.
Management Company:	Nikko Asset Management Luxembourg S.A
Portfolio Managers:	Lawrence Prager & Takeshi Noda (Nikko AM Americas)
Depository:	BNP Paribas Securities Services (Luxembourg) S.C.A.
Management Fee:	USD Class B & SGD Class B: 1.50% p.a.
Sales Charge:	USD Class B & SGD Class B: Up to 5.0%
Dealing:	Daily
Base Currency:	USD

Investment Objective

The investment objective of the Fund is to achieve long term capital growth. The Fund will invest, under normal market conditions, primarily in global equity securities of companies that are relevant to the investment theme of disruptive innovation - defined as the introduction of a technologically enabled new product or service that potentially changes the way the world works. The Investment Manager believes that companies relevant to this theme are those that rely on or benefit from the development of new products or services, technological improvements and advancements in scientific research relating to:

Genomic Revolution Companies - the study of genes and their functions and related techniques

Industrial Innovation Companies - in energy, automation and manufacturing

Web x.0 Companies - the increased use of shared technology, infrastructure and services

FinTech Innovation Companies - technologies that make financial services more efficient

Performance Returns (%)

		3Mth	6Mth	1Yr	3Yr	5Yr	SI
Class B USD	NAV-NAV	-29.74	-40.56	-45.28	10.77	-	13.06
	NAV-NAV (with charges ¹)	-33.25	-43.54	-48.02	8.89	-	11.24
Class B SGD	NAV-NAV	-29.47	-40.76	-44.88	-	-	9.51
	NAV-NAV (with charges ¹)	-33.00	-43.72	-47.64	-	-	7.44

Source: Nikko Asset Management Europe Ltd, 31 March 2022

Returns are calculated on a NAV-NAV basis and assuming all dividends and distributions are reinvested, if any. Returns for period in excess of 1 year are annualised. Past performance is not indicative of future performance.

¹ Takes into account of maximum initial sales charge and a realisation charge, currently nil, as and where applicable.

Fund Holdings

Top 10 Holdings	Weight
Tesla Motors, Inc.	9.43%
Coinbase Global Inc	6.56%
Roku Inc	6.40%
Teladoc Health, Inc.	6.16%
Zoom Video Communications Inc	5.84%
Block, Inc	5.56%
Unity Software Inc	4.86%
Exact Sciences Corporation	4.22%
Spotify Technology Sa	4.03%
Twilio, Inc. Class A	4.02%

Market Cap	Weight
Mega cap: >USD 50b	17.69%
Large cap: USD 10b to USD 50b	51.83%
Mid cap USD: 2b to USD 10b	19.99%
Small cap: <USD 2b	9.82%

Currency	Weight*
US Dollar	99.93%

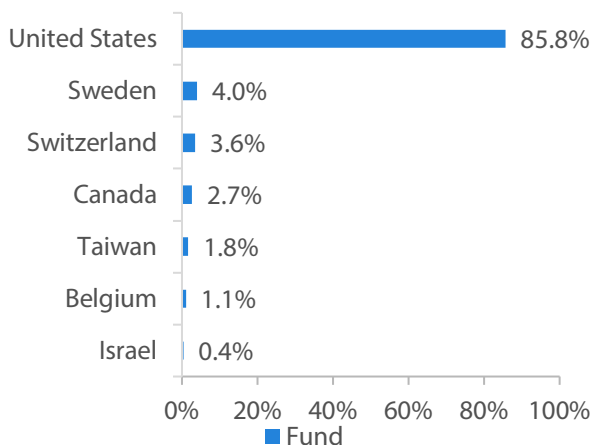
Portfolio

Fund Composition*	Weight
Digital Media	15.2%
Cloud Computing	14.5%
E-Commerce	7.8%
Gene Therapy	7.6%
Blockchain & P2P	7.0%
Mobile	6.4%
Big Data & Machine Learning	6.2%
Instrumentation	5.3%
Bioinformatics	4.9%
Internet of Things	4.9%
Molecular Diagnostics	4.9%
Social Platforms	3.0%
3D Printing	2.9%
Energy Storage	2.9%
Beyond DNA	2.5%
Autonomous Vehicles	1.8%
Development of Infrastructure	0.9%
Robotics	0.5%
Targeted Therapeutics	0.3%
Next Generation Oncology	0.2%

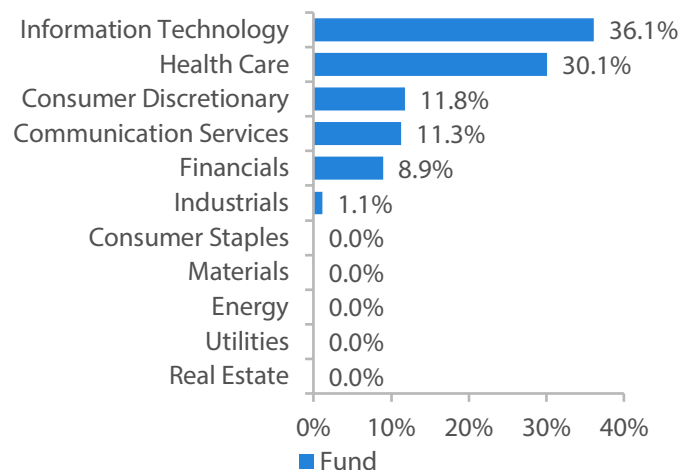
*Amounts of less than 0.1% are not displayed

*Elements defined by ARK Invest

Country Allocation



Sector Allocation



Note: Only positive portfolio value information is displayed.

Fund Information

	Inception Date	Nav Per Share	ISIN Code	Bloomberg Ticker
USD Class B	31 January 2019	USD 14.746	LU1861558580	NIKADBU LX
SGD Class B	26 July 2019	SGD 12.760	LU1861559042	NIKADBS LX

Information sources include Bloomberg, Brown Brothers Harriman (Luxembourg) S.C.A., SMBC Nikko Bank (Luxembourg) S.A., Nikko Asset Management Americas, ARK Investment Management LLC. and Nikko Asset Management Co., Ltd. All information as of 31 March 2022.

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