

NIKKO AM ARK DISRUPTIVE INNOVATION FUND

August 2020 Factsheet

Key Facts

Total Fund Size:	USD 5,557,053,291
Minimum Investment:	USD 1,000 or equivalent in other currencies
Legal Structure:	Sub-fund of Luxembourg SICAV qualifying as a UCITS
Investment Manager:	Nikko Asset Management Americas, Inc.
Investment Adviser	ARK Investment Management LLC.
Management Company:	Nikko Asset Management Luxembourg S.A
Portfolio Managers:	Lawrence Prager & Takeshi Noda (Nikko AM Americas)
Depository:	Brown Brothers Harriman (Luxembourg) S.C.A
Management Fee:	USD Class B & SGD Class B: 1.50% p.a.
Sales Charge:	USD Class B & SGD Class B: Up to 5.0%
Dealing:	Daily
Base Currency:	USD

Investment Objective

The investment objective of the Fund is to achieve long term capital growth. The Fund will invest, under normal market conditions, primarily in global equity securities of companies that are relevant to the investment theme of disruptive innovation - defined as the introduction of a technologically enabled new product or service that potentially changes the way the world works. The Investment Manager believes that companies relevant to this theme are those that rely on or benefit from the development of new products or services, technological improvements and advancements in scientific research relating to:

Genomic Revolution Companies - the study of genes and their functions and related techniques

Industrial Innovation Companies - in energy, automation and manufacturing

Web x.0 Companies - the increased use of shared technology, infrastructure and services

FinTech Innovation Companies - technologies that make financial services more efficient

Performance Returns (%)

		3Mth	6Mth	1Yr	3Yr	SI
Class B USD	NAV-NAV	49.19	76.23	106.43	-	60.72
	NAV-NAV (with charges ¹)	41.73	67.41	96.11	-	55.60
Class B SGD	NAV-NAV	43.54	71.60	102.31	-	74.22
	NAV-NAV (with charges ¹)	36.36	63.02	92.19	-	66.29

Source: Nikko Asset Management Europe Ltd, 31 August 2020

Returns are calculated on a NAV-NAV basis and assuming all dividends and distributions are reinvested, if any. Returns for period in excess of 1 year are annualised. Past performance is not indicative of future performance.

¹ Takes into account of maximum initial sales charge and a realisation charge, currently nil, as and where applicable.

Fund Holdings

Top 10 Holdings	Weight
Tesla Motors, Inc.	10.25%
Square, Inc. Class A	6.11%
Invitae Corp	5.94%
Roku Inc	5.49%
Crispr Therapeutics Ag	5.22%
2U, Inc.	3.68%
Proto Labs, Inc.	3.51%
Slack Technologies Inc	3.08%
Zillow Group, Inc. Class C	3.05%
Editas Medicine Inc	2.72%

Market Cap	Weight
Mega cap: >USD 50b	27.30%
Large cap: USD 10b to USD 50b	24.87%
Mid cap USD: 2b to USD 10b	36.33%
Small cap: <USD 2b	10.97%

Currency	Weight*
US Dollar	99.65%
Japanese Yen	0.26%

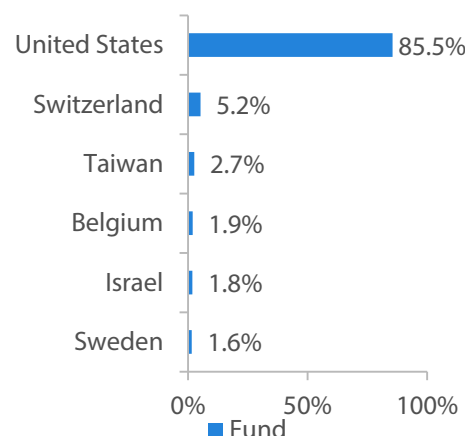
*Amounts of less than 0.1% are not displayed

Portfolio

Fund Composition*	Weight
Cloud Computing	10.87%
E-Commerce	10.32%
Gene Therapy	8.49%
3D Printing	7.86%
Big Data & Machine Learning	7.49%
Molecular Diagnostics	6.56%
Digital Media	6.09%
Internet of Things	5.63%
Beyond DNA	4.76%
Mobile	4.67%
Bioinformatics	4.03%
Robotics	3.99%
Instrumentation	3.70%
Energy Storage	3.33%
Targeted Therapeutics	3.02%
Social Platforms	2.06%
Autonomous Vehicles	1.95%
Next Generation Oncology	1.70%
Development of Infrastructure	1.04%
Space Exploration	1.04%
Blockchain & P2P	0.85%

*Elements defined by ARK Invest

Regional Allocation



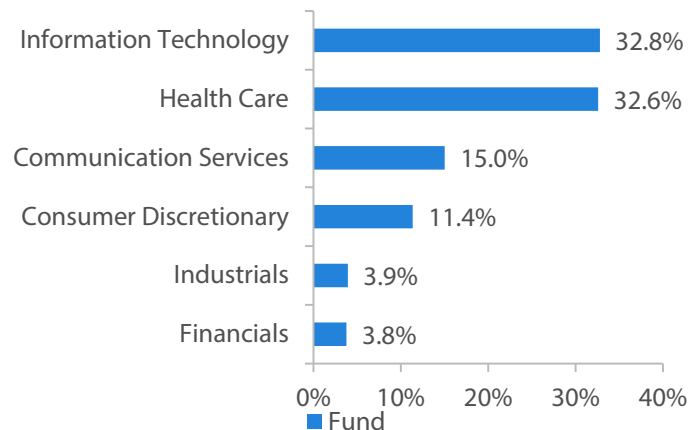
Note: The MSCI World Net Return USD Index is used purely as a reference benchmark. Only positive portfolio value information is displayed.

Fund Information

	Inception Date	Nav Per Share	ISIN Code	Bloomberg Ticker
USD Class B	31 January 2019	USD 21.20	LU1861558580	NIKADBU LX
SGD Class B	26 July 2019	SGD 18.43	LU1861559042	NIKADBS LX

Information sources include Bloomberg, Brown Brothers Harriman (Luxembourg) S.C.A., SMBC Nikko Bank (Luxembourg) S.A., Nikko Asset Management Americas, ARK Investment Management LLC. and Nikko Asset Management Co., Ltd. All information as of 31 August 2020.

Sector Allocation



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