

# NIKKO AM ARK DISRUPTIVE INNOVATION FUND

February 2021 Factsheet

## Key Facts

<b>Total Fund Size:</b>	USD 10,173,004,394
<b>Minimum Investment:</b>	USD 1,000 or equivalent in other currencies
<b>Legal Structure:</b>	Sub-fund of Luxembourg SICAV qualifying as a UCITS
<b>Investment Manager:</b>	Nikko Asset Management Americas, Inc.
<b>Investment Adviser</b>	ARK Investment Management LLC.
<b>Management Company:</b>	Nikko Asset Management Luxembourg S.A
<b>Portfolio Managers:</b>	Lawrence Prager & Takeshi Noda (Nikko AM Americas)
<b>Depository:</b>	Brown Brothers Harriman (Luxembourg) S.C.A
<b>Management Fee:</b>	USD Class B & SGD Class B: 1.50% p.a.
<b>Sales Charge:</b>	USD Class B & SGD Class B: Up to 5.0%
<b>Dealing:</b>	Daily
<b>Base Currency:</b>	USD

## Investment Objective

The investment objective of the Fund is to achieve long term capital growth. The Fund will invest, under normal market conditions, primarily in global equity securities of companies that are relevant to the investment theme of disruptive innovation - defined as the introduction of a technologically enabled new product or service that potentially changes the way the world works. The Investment Manager believes that companies relevant to this theme are those that rely on or benefit from the development of new products or services, technological improvements and advancements in scientific research relating to:

**Genomic Revolution Companies** - the study of genes and their functions and related techniques

**Industrial Innovation Companies** - in energy, automation and manufacturing

**Web x.0 Companies** - the increased use of shared technology, infrastructure and services

**FinTech Innovation Companies** - technologies that make financial services more efficient

## Performance Returns (%)

		3Mth	6Mth	1Yr	3Yr	SI
<b>Class B USD</b>	NAV-NAV	16.87	38.21	143.56	-	67.92
	NAV-NAV (with charges <sup>1</sup> )	11.03	31.30	131.38	-	63.82
<b>Class B SGD</b>	NAV-NAV	15.97	35.16	131.94	-	77.42
	NAV-NAV (with charges <sup>1</sup> )	10.17	28.40	120.34	-	71.80

Source: Nikko Asset Management Europe Ltd, 28 February 2021

Returns are calculated on a NAV-NAV basis and assuming all dividends and distributions are reinvested, if any. Returns for period in excess of 1 year are annualised. Past performance is not indicative of future performance.

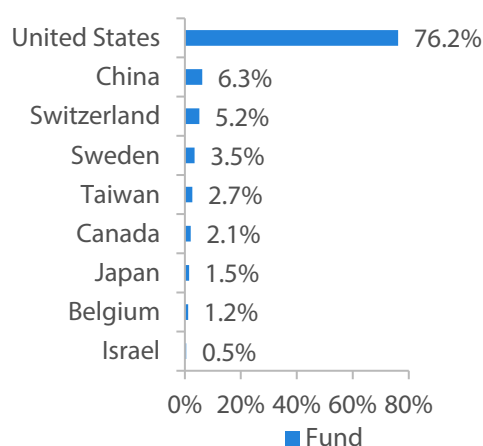
<sup>1</sup> Takes into account of maximum initial sales charge and a realisation charge, currently nil, as and where applicable.

## Fund Holdings

Top 10 Holdings	Weight
Tesla Motors, Inc.	9.33%
Square, Inc. Class A	5.58%
Roku Inc	5.41%
Teladoc Health, Inc.	4.62%
Crispr Therapeutics Ag	4.02%
Invitae Corp	3.89%
Baidu Inc	3.66%
Spotify Technology Sa	3.50%
Zillow Group, Inc. Class C	3.37%
Intellia Therapeutics Inc	2.39%
Market Cap	Weight
Mega cap: >USD 50b	45.87%
Large cap: USD 10b to USD 50b	17.84%
Mid cap USD: 2b to USD 10b	30.94%
Small cap: <USD 2b	4.44%
Currency	Weight*
US Dollar	96.23%
Japanese Yen	1.69%
Swiss Franc	1.16%
Hong Kong Dollar	0.84%

\*Amounts of less than 0.1% are not displayed

## Country Allocation



Note: Only positive portfolio value information is displayed.

## Fund Information

	Inception Date	Nav Per Share	ISIN Code	Bloomberg Ticker
USD Class B	31 January 2019	USD 29.300	LU1861558580	NIKADBU LX
SGD Class B	26 July 2019	SGD 24.910	LU1861559042	NIKADBS LX

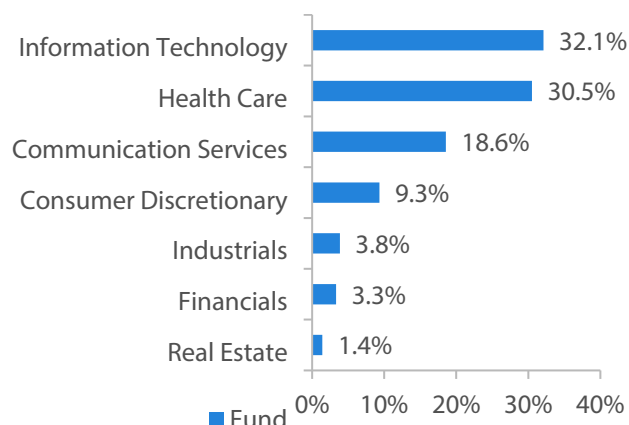
Information sources include Bloomberg, Brown Brothers Harriman (Luxembourg) S.C.A., SMBC Nikko Bank (Luxembourg) S.A., Nikko Asset Management Americas, ARK Investment Management LLC. and Nikko Asset Management Co., Ltd. All information as of 28 February 2021.

## Portfolio

Fund Composition*	Weight
E-Commerce	11.74%
Digital Media	9.39%
Cloud Computing	8.84%
Mobile	7.86%
Big Data & Machine Learning	7.75%
Gene Therapy	7.19%
Molecular Diagnostics	6.95%
3D Printing	5.63%
Internet of Things	4.93%
Autonomous Vehicles	3.99%
Bioinformatics	3.64%
Beyond DNA	3.48%
Instrumentation	3.21%
Energy Storage	3.09%
Targeted Therapeutics	2.92%
Social Platforms	2.17%
Robotics	2.13%
Blockchain & P2P	1.25%
Next Generation Oncology	1.11%
Development of Infrastructure	0.93%
Space Exploration	0.89%

\*Elements defined by ARK Invest

## Sector Allocation



## Contact Us

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## Important Information

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